IAP7 REC'OPCTIPTO 28 JUL ZUUD

FORM PTO-1390) (REV. 10-2003) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
396.46405X00

U.S. APPLICATION NO. (IEknown, 2007) 37 CFR 1.5

INTERNATIONAL APPLICATION NO	0
PCT/JP2005/001263	

INTERNATIONAL FILING DATE
January 28, 2005

PRIORITY DATE CLAIMED
January 30, 2004

PCT/JP2005/001263
TITLE OF INVENTION
Process for Producin
APPLICANT(S) FOR DO

III BB OI III I	
Process for Producing	Chroman Compound

A substitute specification.

A power of attorney and/or address letter.

APPLICANT(S) FOR DO/EO/US Kazuo TANAKA Youichi KYUUKO Toshio HIDAKA									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. 🖂	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2. 🔲	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.								
3. 🛛	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. 🛛	The US has been elected (Article 31).								
5. 🗌	 A copy of the International Application as filed (35 U.S.C. 371(c)(2))) a. ☐ is attached hereto (required only if not communicated by the International Bureau). b. ☐ has been communicated by the International Bureau. c. ☐ is not required, as the application was filed in the United States Receiving Office(RO/US) 								
6. 🖾	 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a.								
7. 🗆	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made.								
8. 🗌	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9. 🖂	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Items 11 to 20 below concern document(s) or information included:									
11. 🖾	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
12. 🖂	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13. 🖂	A preliminary amendment.								
14.	An Application Data Sheet under 37 CFR 1.76.								

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: Request for Examination and Search; PCT/attachment; Credit Card Payment Form

A second copy of the published international application under 35 U.S.C. 154(d)(4).

16. 🔲

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19. 🔲

20. 🛛

IAP11 Rec'd PCT/PTO 28 JUL 2006

U.S. C. T. A. I.S. C. (19know). 433 FR 1.5) INTERNATIONAL APPLICATION NO. 2004-024126			ATTORNEY'S DOCKET NUMBER 39646405X00			
The following fees have been submitted:			CALCULATIONS	PTO USE ONLY		
21. A Basic nati	21. ⊠ Basic national fee \$300			\$300.00		
	ional preliminary examination re of PCT Article 33(1)-(4)	\$200.00				
to the US	e (37 CFR 1.445(a)(2) has paid of PTO as an International Searchinnal Search Report prepared and p	\$400.00				
	TOTAL OF	21, 22 and 23 =		\$900.00		
listing or co	fee for specification and drawing omputer program listing filed in 50 sheets of paper or fraction the	\$0.00				
Total Sheets	(round up	f each additional 50 or frac to a whole number)				
- 100 = Surcharge of \$130	/50 = .00 for furnishing the oath or	declaration later than 30 m	X \$250.00 nonths	60.00		
	aimed priority date (37 CFR			\$0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims	11 - 20 =	0	x \$50.00	\$0.00		
Independent Claims	3 - 3 =	0	x \$200.00	\$0.00		
MULTIPLE DEPE	NDENT CLAIMS(S) (if appl	icable)	+ \$360.00	\$ 0.00		
		TOTAL OF ABOVE	CALCULATIONS =	\$900.00		
Applicant clair	ms small entity status. See 3 y ½.	7 CFR 1.27. The fees indi	icated above	\$0.00		
			SUBTOTAL =	\$ 900.00		
	130.00 for furnishing the Enaimed priority date (37 CFR		30 months	\$0.00		
			NATIONAL FEE =	\$ 900.00		
Fee for recording t accompanied by ar	he enclosed assignment (37 of appropriate cover sheet (37)	CFR 1.21(h)). The assignm CFR 3.28, 3.31). \$40.00 j	nent must be per property +	\$ 40.00		
		TOTAL I	FEES ENCLOSED =	\$940.00		
				Amount to be refunded:	s	
				Amount to charged:	s	
a. ☐ A check in the amount of \$ to cover the above fees is enclosed. b. ☐ Please charge my Deposit Account No. 01-2135 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposition Account No. 01-2135. A duplicate copy of this sheet is enclosed. d. ☒ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRECUSTOMER NO. ANTONELLI, TEI 1300 North 17th St Arlington, VA 222	D20457 RRY, STOUT & KRAUS, LLP reet, Suite 1800		Signature William I. Name 28,565 Registration			

RM PTO-1390 (REV 10-2003) page 2 of 2

10/587545 IAP11 Rec'd PCT/PTO 28 39846486486400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: TANAKA, et al.

Serial No.: Not Yet Assigned

Filed:

July 28, 2006

Title:

Process for Producing Chroman Compound

REQUEST FOR EXAMINATION AND SEARCH

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

July 28, 2006

Sir:

Applicants respectfully request that a search and examination be conducted in the above-identified application. The necessary search fee and examination fee are enclosed herewith.

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (396.46405X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

William I. Solomon

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10/587545 ATTACHMENT 1 Rec'd PCT/PTO 28 JUL 2006)

International Application No.	International Filing Date	Attorney Docket No.
PCT/JP2005/001263	January 28, 2005	396.46405X00

- 1. International Publication No. WO 2005/073212 ***A-1 Cover Sheet
- 2. PCT Request
- 3. Notification Concerning Submission or Transmittal of Priority Document
- 4. First Notice Informing the Application of the Communication of the International Application to the Designated Offices
- 5. International Search Report